

SERVICE ORGANISATION CONTROLS REPORT

WHAT IS A SOC REPORT ? WHAT DOES IT MEAN FOR ALTER DOMUS?

The SOC report is deemed to provide clients of Alter Domus and their auditors with information regarding the internal controls relevant to the provision of services by Alter Domus. By complying with these standards, Alter Domus offers its clients and auditors comfort on the framework of procedures and the control performed by the Fund Administrators. The aim is to reduce the controls that clients' auditors will perform on the Fund Administrators during the audit of financial statements. The report also guarantees the operating effectiveness of Alter Domus concerning sensitive topics relating to security, availability, processing integrity, confidentiality and privacy.



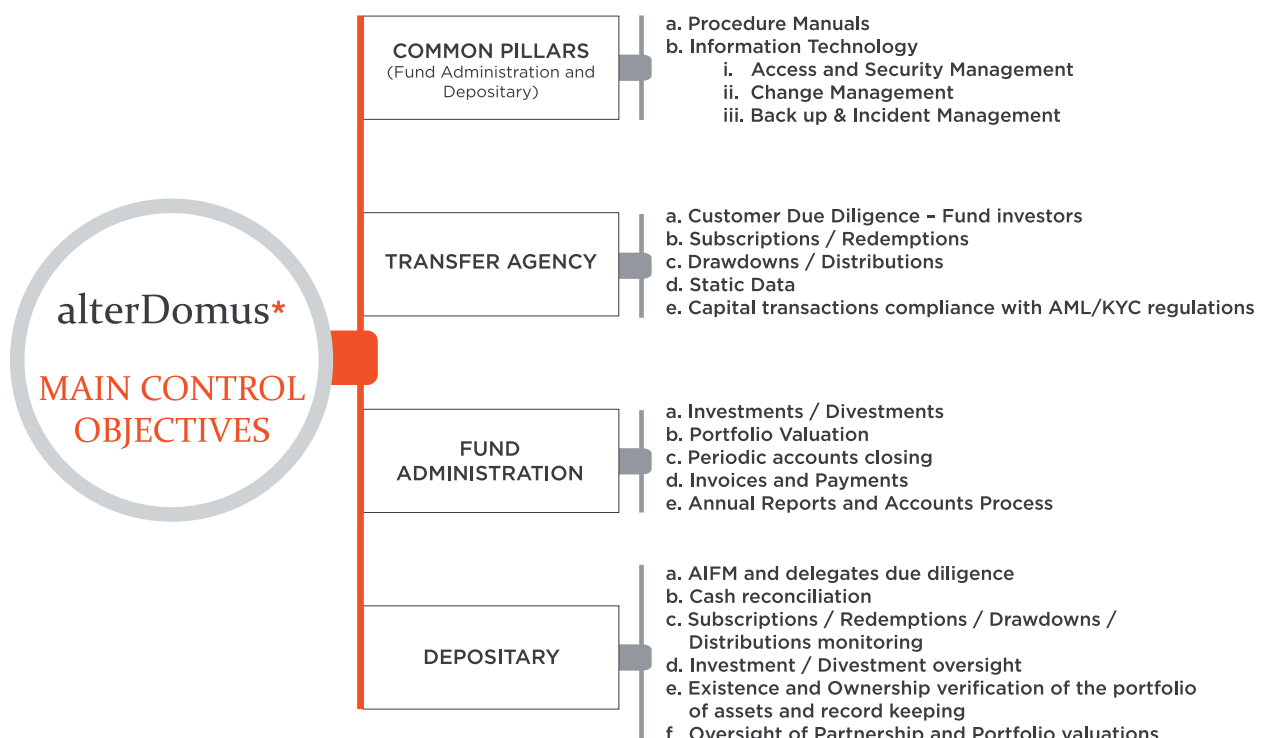
SCOPE OF CERTIFICATION

In January 2018, Alter Domus was successfully granted by Ernst & Young with a certification in accordance with International Standards on Assurance Engagements, SOC 1 Type II certification (ISAE3402). The ISAE 3402 SOC 1 Type I accreditation had been previously obtained in July 2015. The 2018 report was issued on January 18, 2018 and is available on demand.

The certification was granted for both Fund Administration and Depositary Services as follows:

- **SOC 1 Type II** Fund Administration, Transfer Agency and Information Technology systems in Luxembourg, Australia, France, Jersey, Guernsey, Malta and the UK
- **SOC 1 Type I** Fund Administration, Transfer Agency and Information Technology systems in Cyprus, Hong Kong and Singapore
- **SOC 1 Type II** Depositary Services and Information Technology systems in Luxembourg and the UK.

OUR MAIN CONTROL OBJECTIVES



WHY CHOOSE ALTER DOMUS?

OUR DEDICATED TEAM

- Fund Services professionals with strong expertise in the field of Alternative Investment Funds
- Fluency in English, German, French and 23 other languages
- 4 to 6 eyes verification at every step
- Alter Domus' resource of 2,400 employees across multiple jurisdictions

FLEXIBILITY AND CLIENT FOCUS

- Tailored approach responding to each fund's requirements
- Open, fast and easy communication through a single contact person
- Local services are performed in accordance with local working practices where the client's activity is carried out
- All tax, accounting and legal matters are managed by the same team

OUR EXPERTISE AND TRACK RECORD

We serve :

- 17 of the 20 largest PE houses in the world
- 19 of the 20 largest RE firms in the world
- 16 of the 20 largest Private Debt managers in the world
- Over 10,200 SPVs (i.e. Holding companies and financing vehicles)
- Over 1,370 Alternative Investment Funds under administration corresponding to 750bn USD asset under administration

INDEPENDENCE

- Majority management owned, avoids conflicts of interest
- As an independent service provider, our partners are selected based on their competency and added value to our clients

UNIQUE TECHNOLOGICAL SOLUTION

- Comprehensive industry leading and integrated solutions via e-Front, a dedicated solution for fund administration activities
- Alter Domus Connect : our client portal solution offering a 24/7 highly secure web access to all of your corporate documents managed by Alter Domus
- Dedicated IT team for system maintenance and software update

INTEGRATED SOLUTIONS

- Global network of more than 40 offices and desks
- End to end solutions : from outsourced fund administration to management of local structure
- In-house technical pools : VAT & Corporate Tax, Corporate Law, Liquidation, IFRS and Transfer Pricing

YOUR SERVICE ORGANISATION CONTROLS REPORT TEAM

MAIN CONTACT

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Alter Domus is a fully integrated fund and corporate services provider, dedicated to international private equity & infrastructure houses, real estate firms, private debt managers, multinationals, capital markets issuers and private clients. Our vertically integrated approach offers tailor-made administration solutions across the entire value chain of investment structures, from fund level down to local special purpose vehicles.

Founded in Luxembourg in 2003, Alter Domus has continually expanded its global service offering and today counts more than 40 offices across 20 countries. This international network enables clients to benefit globally from the expertise of more than 2,400 experienced professionals active in fund administration, corporate secretarial, accounting, consolidation, tax and legal compliance, depositary services and debt administration services.

We are proud to serve 17 of the 20 largest private equity houses, 19 of the 20 largest real estate firms and 16 of the 20 largest private debt managers in the world.

www.alterDomus.com

*YOUR PARTNER FOR GROWTH

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