

# LISTED FUND SERVICES

Alternative Asset Funds, investing in Private Equity & infrastructure, or Real Estate have long been established as Listed Corporate Vehicles, whether on the London Stock Exchange Main Market, Specialist Fund Market and Alternative Investment Market, Euronext or the Channel Island Securities Exchange.

Alter Domus is able to provide class leading administration, listing support and continuing obligations services, provided by its team of experienced company secretaries and listed fund specialists.

Being an alternative asset specialist for more than 10 years, Alter Domus has worked with many of the foremost private equity & infrastructure houses and real estate firms in assisting them with their critical operational requirements.

## SPECIALISTS

PRIVATE EQUITY & INFRASTRUCTURE

REAL ESTATE

DEBT

EXPERIENCED COMPANY SECRETARIES

INTERNATIONAL NETWORK

## WHAT WE DO LISTED FUND SERVICES



### LISTING SUPPORT

- Liaise with Board of Directors, Lawyers, Sponsors, Book runners, Receiving Agents and Investment Managers
- Local regulatory and compliance support

### COMPANY SECRETARIAL

- Act as Company Secretary
- Maintain Statutory and Investor Registers in conjunction with the appointed Registrar
- Ensure compliance with the UK Code of Corporate Governance or other relevant Codes of Corporate Governance
- Board Meeting arrangements
- Co-ordination of Annual General Meetings and other Investor related meetings
- Audit, Nomination, Management Engagement and Remuneration Committee Support

### CONTINUING OBLIGATIONS

- Ensure that the Fund complies with its relevant continuing obligations
- Stock Exchange Announcements
- Liaison with the Fund's Listing Sponsor

### ADMINISTRATION AND ACCOUNTING

- Bank Account Maintenance and Cash Management
- Maintenance of Bookkeeping records
- Administration of Special Purpose Vehicles
- Assistance with Investment Transactions
- Co-ordination of Dividend Payments
- Production of Annual and Interim Financial Statements
- Consolidation and Transfer Pricing

# WHY CHOOSE ALTER DOMUS' LISTED FUND SERVICES ?

## OUR DEDICATED TEAM

- Fund Services professionals with strong expertise in the field of Listed Funds
- Fluency in English, German, French and 23 other languages
- 4 to 6 eyes verification at every step
- Alter Domus' resource of 2,000 employees across multiple jurisdictions

## FLEXIBILITY AND CLIENT FOCUS

- Tailored approach responding to each fund's requirements
- Open, fast and easy communication through a single contact person
- Local services are performed in accordance with local working practices where the client's activity is carried out
- All tax, accounting and legal matters are managed by the same team

## OUR EXPERTISE AND TRACK RECORD

We serve:

- 17 of the 20 largest PE houses in the world
- 15 of the 20 largest RE firms in the world
- 17 of the 20 largest Private Debt managers in the world
- Over 8,500 SPVs (i.e. Holding companies and financing vehicles)
- Over 1,100 Alternative Investment Funds under administration corresponding to 505 bn USD asset under administration

## INDEPENDENCE

- Majority management owned, avoids conflicts of interest
- As an independent service provider, our partners are selected based on their competency and added value to our clients

## UNIQUE TECHNOLOGICAL SOLUTION

- Comprehensive industry leading and integrated solutions via e-Front, a dedicated solution for fund administration activities
- Alter Domus Connect : our client portal solution offering a 24/7 highly secure web access to all of your corporate documents managed by Alter Domus
- Dedicated IT team for system maintenance and software update

## INTEGRATED SOLUTIONS

- Global network of 40 offices and desks, on 5 continents
- End to end solutions : from outsourced fund administration to management of local structure
- In-house technical pools : VAT & Corporate Tax, Corporate Law, Liquidation, IFRS and Transfer Pricing

## YOUR LISTED FUND SERVICES TEAM



### MAIN CONTACT

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Alter Domus is a fully integrated Fund and Corporate services provider, dedicated to international private equity & infrastructure houses, real estate firms, private debt managers, multinationals, capital markets issuers and private clients. Our vertically integrated approach offers tailor-made administration solutions across the entire value chain of investment structures, from fund level down to local Special Purpose Vehicles.

Founded in Luxembourg in 2003, Alter Domus has continually expanded its global service offer and today counts 40 offices and desks across five continents. This international network enables clients to benefit globally from the expertise of more than 2,000 experienced professionals active in fund administration, corporate secretarial, accounting, consolidation, tax and legal compliance, depository services and debt administration services.

We are proud to serve 17 of the 20 largest private equity houses, 15 of the 20 largest real estate firms and 17 of the 20 largest private debt managers in the world.

[www.alterDomus.com](http://www.alterDomus.com)

\*YOU INVEST, WE SET UP.

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