

LISTED FUND SERVICES

Alternative Asset Funds, investing in Private Equity & infrastructure, or Real Estate have long been established as Listed Corporate Vehicles, whether on the London Stock Exchange Main Market, Specialist Fund Market and Alternative Investment Market, Euronext or the Channel Island Securities Exchange.

Alter Domus is able to provide class leading administration, listing support and continuing obligations services, provided by its team of experienced company secretaries and listed fund specialists.

Being an alternative asset specialist for more than 15 years, Alter Domus has worked with many of the foremost private equity & infrastructure houses and real estate firms in assisting them with their critical operational requirements.

SPECIALISTS

PRIVATE EQUITY & INFRASTRUCTURE

REAL ESTATE

DEBT

EXPERIENCED COMPANY SECRETARIES

INTERNATIONAL NETWORK

WHAT WE DO LISTED FUND SERVICES



LISTING SUPPORT

- Liaise with Board of Directors, Lawyers, Sponsors, Book runners, Receiving Agents and Investment Managers
- Local regulatory and compliance support

COMPANY SECRETARIAL

- Act as Company Secretary
- Maintain Statutory and Investor Registers in conjunction with the appointed Registrar
- Ensure compliance with the UK Code of Corporate Governance or other relevant Codes of Corporate Governance
- Board Meeting arrangements
- Co-ordination of Annual General Meetings and other Investor related meetings
- Audit, Nomination, Management Engagement and Remuneration Committee Support

CONTINUING OBLIGATIONS

- Ensure that the Fund complies with its relevant continuing obligations
- Stock Exchange Announcements
- Liaison with the Fund's Listing Sponsor

ADMINISTRATION AND ACCOUNTING

- Bank Account Maintenance and Cash Management
- Maintenance of Bookkeeping records
- Administration of Special Purpose Vehicles
- Assistance with Investment Transactions
- Co-ordination of Dividend Payments
- Production of Annual and Interim Financial Statements
- Consolidation and Transfer Pricing

WHY CHOOSE ALTER DOMUS' LISTED FUND SERVICES?

OUR DEDICATED TEAM

- Fund Services professionals with strong expertise in the field of Listed Funds
- Fluency in English, German, French and 23 other languages
- 4 to 6 eyes verification at every step
- Alter Domus' resource of 2,500 employees across multiple jurisdictions

FLEXIBILITY AND CLIENT FOCUS

- Tailored approach responding to each fund's requirements
- Open, fast and easy communication through a single contact person
- Local services are performed in accordance with local working practices where the client's activity is carried out
- All tax, accounting and legal matters are managed by the same team

OUR EXPERTISE AND TRACK RECORD

We serve:

- 14 of the 20 largest PE houses in the world
- 18 of the 20 largest RE firms in the world
- 15 of the 20 largest Private Debt managers in the world
- Over 10,900 SPVs (i.e. Holding companies and financing vehicles)

INDEPENDENCE

- Majority management owned, avoids conflicts of interest
- As an independent service provider, our partners are selected based on their competency and added value to our clients

UNIQUE TECHNOLOGICAL SOLUTION

- Comprehensive industry leading and integrated solutions via e-Front, a dedicated solution for fund administration activities
- Alter Domus Connect : our client portal solution offering a 24/7 highly secure web access to all of your corporate documents managed by Alter Domus
- Dedicated IT team for system maintenance and software update

INTEGRATED SOLUTIONS

- Global network of more than 40 offices and desks
- End to end solutions : from outsourced fund administration to management of local structure
- In-house technical pools : VAT & Corporate Tax, Corporate Law, Liquidation, IFRS and Transfer Pricing

YOUR LISTED FUND SERVICES TEAM

MAIN CONTACT

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Many leading international asset managers, lenders and asset owners choose Alter Domus as their partner for growth. Whether a stand-alone fund with limited investments, or a large multi-billion-dollar fund with complex investment streams across multiple jurisdictions, we understand your world. Vertical integration allows us to build dedicated teams to provide support and bespoke solutions across your entire value chain. By tapping into a talent pool of some 2,500 employees across more than 40 offices and desks, our expertise and cutting-edge technology combine to put you ahead of the game.

With an established professional focus on the private equity, infrastructure, real estate, and debt and capital markets sectors, we offer fund administration, corporate services, depository services, transfer pricing, domiciliation and management company services. Our specific experience in the debt and capital markets sector allows us to provide specialist solutions such as loan administration, agency services, trade settlement and CLO manager services.

www.alterDomus.com

*YOUR PARTNER FOR GROWTH

alterDomus*